



NEWSLINE

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Tennessee Department of Commerce and Insurance*



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Chairperson's Report

by Terri Walker, Chairperson



The TAC staff has been working hard to provide licensees additional services online. The TAC now offers the Licensing Law, Rules and Regulations online along with the roster of all licensees. This newsletter is also posted on the website for other auctioneers and consumers to read. Now the TAC has become the first Regulatory Board under the Department of Commerce and Insurance to provide licensees with the opportunity to renew all licenses issued by the TAC online. You may renew your firm, auctioneer, apprentice auctioneer and gallery license online and print out a confirmation. This newsletter will display instructions on how to use this important tool, providing the speed and convenience of the internet to complete your license renewal. We encourage you to renew your licenses online. This will help the staff complete your renewal faster and cut down on the time and labor needed to do the paperwork. This will also assist the TAC in keeping costs in check and license fees at a reasonable level.

The Tennessee Auctioneer Commission Seminar in Knoxville drew the largest crowd since the TAC began offering the free continuing education programs to licensees. Over 200 people attended the program at the I-75 Expo Center. The program was presented by Mr. Bill Gallivan of the Alcohol, Tobacco, Firearms and Explosive Agency on selling guns at auction. This seminar program will be repeated in Middle and West Tennessee in 2005.

I would like to welcome two new Commissioners to the Tennessee Auctioneer Commission. Mr. John McLemore, a Nashville attorney, was appointed by Governor Bredesen as the Consumer Member of the TAC. Mr. Bobby Colson, long serving Secretary/Treasurer of the Tennessee Auctioneer Association, was appointed as the Middle Tennessee member to the TAC. I know the TAC and staff look forward to working with these two new Commission members.

You are always welcome to visit the TAC during its regular meetings. The time and date of the meetings are posted on the website.

I would like to wish you and your family Happy Holidays and hope you have a wonderful 2005.

COMMISSION MEMBERS AND STAFF

Terri Walker, Chairperson

Term 9/1/02 - 8/31/05

Howard Phillips, Board Member

Term 9/1/03 - 8/31/06

Jay Whirley, Board Member

Term 11/30/00 - 8/31/04

Bobby Colson, Board Member

Term 8/31/04 - 8/31/07

John McLemore, Esq., Consumer Member

Term 9/1/04 - 8/31/07

Lynn McGill, Administrative Director

lynn.mcgill@state.tn.us

Sheila Piper, Administrative Assistant

sheila.d.piper@state.tn.us

Dennis Hodges, Investigator

dennis.hodges@state.tn.us

website: www.state.tn.us/commerce/boards/auction

MISSION STATEMENT:

The mission of the Tennessee Auctioneer Commission is to protect the public and licensees by monitoring the auctioneer profession through licensure and regulation.

STATE OF TENNESSEE

DEPARTMENT OF COMMERCE & INSURANCE

TENNESSEE AUCTIONEER COMMISSION

500 JAMES ROBERTSON PARKWAY

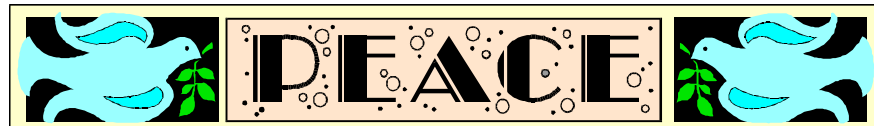
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NASHVILLE, TENNESSEE 37243-1152

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615-741-1245 (Fax)

www.state.tn.us/commerce/boards/auction



TAC FIRST FOR ONLINE RENEWALS

by Lynn McGill
TAC Administrative Director

The Tennessee Auctioneer Commission is the first Regulatory Board to offer Online License Renewal to its licensees. My staff has worked hard to complete this task and offer these Online Services to the licensees of Tennessee. This will be a great convenience to all of our citizens that desire to renew their auctioneer, apprentice, firm or gallery license from their office or home.

On this page we have provided information on using the Online Renewal process. We encourage all licensees to use this service when renewing their license.



MEDIA RELEASE

STATE OF TENNESSEE
DEPARTMENT OF COMMERCE AND INSURANCE

FOR IMMEDIATE RELEASE
November 19, 2004

CONTACT: Lynn McGill
615.741.3600 (OFFICE)

AUCTIONEER COMMISSION SOLD ON ONLINE RENEWALS

FIRST REGULATORY BOARD TO OFFER E-RENEWAL

NASHVILLE – The Tennessee Auctioneer Commission is the first state regulatory board to offer all of its licensing renewal services online, administrative director Lynn McGill announced Friday.

“Online license renewal is a great convenience to the public, and technology will allow us to handle the ever-increasing number of licensees,” said McGill. “This is the future of state regulation, and we’re happy to be on the cutting edge.”

“We’re hoping this is the first step toward online renewal of all our licensing at Commerce and Insurance,” said Commissioner Paula Flowers, whose large department issues more than 30 different business and professional licenses to more than 700,000 individuals and businesses. “We are committed to making our regulatory processes as efficient and accessible as possible – and having web-based license renewals is part of that effort.”

The Board issues six types of licenses, each of which is now renewable online at <http://www.tennesseeanytime.org/tnauct/>

Licensees, using their personal ID numbers, can verify their information, make corrections and updates, submit payment via credit card or debit card, and print confirmation of the payment to keep for their own records.

The State of Tennessee provides more high-quality and easy-to-use online services than any other state in the nation, according to Brown University’s 2004 survey of state and federal web sites and e-government services.

WHO TO CONTACT FOR HELP

If you have any questions about your Auctioneer License Renewal, contact:

Tennessee Auctioneer Commission
500 James Robertson Pkwy
Suite 635, 6th Floor
Nashville, TN 37243-1152
Phone: 615-741-3600
Fax: 615-741-1245
E-mail: Lynn.McGill@state.tn.us

If you need technical assistance, contact:

TennesseeAnytime Help Desk
Phone: 615-313-0300 or toll-free at
1-866-8TN-EGOV
E-mail: help@tennesseean anytime.org

WHAT IS THE LICENSE RENEWAL FEE?

These are Tennessee Renewal fees; reciprocal renewal fees could differ from Tennessee fees.

| License Type | Fee | Recovery Fee | Total License Fee |
|-------------------------------|-------|--------------|-------------------|
| Auctioneer License | \$150 | \$50 | \$200 |
| Apprentice Auctioneer License | \$100 | \$50 | \$150 |
| Auction Firm License | \$100 | \$50 | \$150 |
| Gallery License | \$100 | \$50 | \$150 |
| Auction Firm Branch License | \$50 | \$50 | \$100 |
| Gallery Branch License | \$50 | \$50 | \$100 |

HOW EARLY CAN I RENEW MY LICENSE?

You may renew any time in the **60** days before your license expires.

WHAT ARE THE RENEWAL REQUIREMENTS?

| License Type | Renewal Requirements |
|-----------------------|---|
| Auctioneer | <ol style="list-style-type: none"> 1. Must have six hours of continuing education unless you are exempt 2. Your firm affiliation must be current |
| Apprentice Auctioneer | <ol style="list-style-type: none"> 1. Must have six hours of continuing education 2. Your sponsor's license must be current 3. Your sponsor's firm license must be current |
| Firm | <ol style="list-style-type: none"> 1. Must have a principal auctioneer 2. Principal auctioneer's license must be current |
| Firm Branch | <ol style="list-style-type: none"> 1. Principal's firm license must be current 2. Principal auctioneer's license must be current |
| Gallery Branch | Principal gallery license must be current |

WHAT IF MY LICENSE HAS EXPIRED?

If your license has been expired for less than two months, you may renew online and pay the renewal fee plus a \$50 late fee. If it is more than two months since expiration, you must reapply and also pay penalties. See the table below and contact the Auctioneer Commission at (615) 741-3600 or e-mail to Lynn.McGill@state.tn.us for more information.

| Time After Expiration | Fees |
|----------------------------------|---|
| Within two months | \$50 |
| Between two and six months | You must reapply for your license and pay a \$150 penalty |
| Between six months and two years | You must reapply for your license and pay a \$200 penalty |
| More than two years | You must reapply and satisfy the all the requirements for a new licensure |

CAN I RENEW A RETIRED LICENSE ONLINE?

No. You must apply for activation of a retired license. For more information, contact the Auctioneer Commission at (615) 741-3600 or e-mail to Lynn.McGill@state.tn.us.

CAN I GET A REPLACEMENT LICENSE ONLINE?

No. For a replacement license and pocket card, contact the Auctioneer Commission at (615) 741-3600 or e-mail to Lynn.McGill@state.tn.us.

"I would like to say a great big thank you to John (J.R.) Rwamihigo from the Information Systems Division and all the people who worked with the Auctioneer Commission regarding Online Renewals to make it a success."

*Thanks,
Lynn McGill
Administrative Director*

"I just did the renewal online for Robert Blackford, Auctioneer and Firm license and wanted to let you know how easy I thought it was. The only problem was the credit card error on the auctioneer license and that was on our end (we used wrong billing address). But I did get an error again the first time I tried renewing the firm license with the same credit card information that it had just accepted for the auctioneer license. The print out at the end of the transaction is great."

- Carolyn Blackford

Disciplinary Corner

Dennis Hodges, Investigator

| Name | Amount Paid | Action Taken |
|---|-------------|-------------------------|
| CARR ENTERPRISES & AUCTION CO. Respondent ran an advertisement that was in violation of 0160-1-.20 ADVERTISING GUIDELINES. (1) Advertising in any form that is designed to give notice of an upcoming auction must include the name and license number of the auction firm or gallery responsible for holding the sale. | \$100.00 | Agreed Citation issued |
| FURROW AUCTION CO. Respondent ran an advertisement that was in violation of 0160-1-.20 ADVERTISING GUIDELINES. (4) Any advertising or advertisement purporting or suggesting that an auction sale is being held in conjunction with or as a result of a bankruptcy proceeding, or that items to be sold at auction were previously purchased in connection with a bankruptcy proceeding shall contain the bankruptcy court case number assigned by the court of jurisdiction for such proceeding. | \$500.00 | Agreed Citation issued |
| JOSEPH G. ELLER Respondent allowed an apprentice auctioneer to conduct auction activity without direct supervision. 0160-1-.12 (4) A sponsoring auctioneer is to be responsible and accountable for the auctioneering activities of any apprentice auctioneer associated with such auctioneer. | \$250.00 | Consent Order issued |
| SOUTH TENNESSEE AUTO AUCTION Respondent conducted auction activity before being properly licensed. Tennessee Auctioneer Commission Law, § 62-19-102.(a)(1) It is unlawful for any person to: (1) Act as or advertise or represent to be, an auctioneer, apprentice auctioneer, or firm without holding a valid license issued by the commission under this chapter or prior state law: | \$500.00 | Citation Issued |
| FRED DAVID DUVALL Respondent call bids at an auction without the presence of his/her sponsor. An apprentice's sponsor is responsible for all auctioning activities of the apprentice and must be physically present to monitor those activities. 0160-1-.12(9) APPRENTICES - SPONSORS - SUPERVISION. (c) May not call bids at an auction without the presence of his/her sponsor. An apprentice's sponsor is responsible for all auctioning activities of the apprentice and must be physically present to monitor those activities. | \$500.00 | Consent Order Issued |
| JOHN DIXON/JOHN DIXON & ASSOCIATES INC. HENRY GLASCOCK Respondent conducted auction activity without the proper licensure. Tennessee Auctioneer Commission Law, § 62-19-111(11) All contracts for services to be performed by an auction firm, except an auto auction as defined in § 55-17-102(2), must be negotiated for and signed by an auctioneer who is a member of the firm or by the auctioneer's attorney. | \$1500.00 | Consent Order issued |
| AUCTIONS UNLIMITED Respondent ran an advertisement that was in violation of 0160-1-.20 ADVERTISING GUIDELINES. (1) (4) Advertising in any form that is designed to give notice of an upcoming auction must include the name and license number of the auction firm or gallery responsible for holding the sale. Any advertising or advertisement purporting or suggesting that an auction sale is being held in conjunction with or as a result of a bankruptcy proceeding, or that items to be sold at auction were previously purchased in connection with a bankruptcy proceeding shall contain the bankruptcy court case number assigned by the court of jurisdiction for such proceeding. | \$600.00 | Agreed Citation issued. |
| THE REDFIELD GROUP INC Respondent conducted auction activity before being properly licensed. Tennessee Auctioneer Commission Law, § 62-19-102.(a)(1) It is unlawful for any person to: Act as or advertise or represent to be, an auctioneer, apprentice auctioneer, or firm without holding a valid license issued by the commission under this chapter or prior state law: | \$2,500.00 | Citation issued |



TENNESSEE DEPARTMENT OF COMMERCE AND INSURANCE AUTHORIZATION No. 335170, Revised 5/96. This public document was promulgated for 13,200 copies per issue, at a cost of 26 cents per copy, paid by the Tennessee Auctioneer Commission Education and Recovery Fund.

"The Tennessee Department of Commerce and Insurance is committed to principals of equal opportunity, equal access, and affirmative action." Contact the EEO Coordinator or ADA Coordinator (615) 741-2177 (TDD).

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